ATTORNEY DOCKET: 2005137-0001 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BROMBERG, et al.

Examiner:

Not yet assigned

Serial No:

Not yet assigned

Art Unit:

Not yet assigned

Filed:

November 17, 2003

For:

HOMOGENEOUS CHARGE COMPRESSION IGNITION CONTROL UTILIZING PLASMATRON FUEL CONVERTER TECHNOLOGY

CERTIFICATE OF MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service as Express class mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450 on November 17, 2003.

Alison Barnett

Express Mail No.: EV325286347US

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

(Select A, B or C below)

A. [X] This Information Disclosure Statement has been filed:

(check 1, 2 and/or 3 below)

- 1. [X] within three months of the filing date of the above identified U.S. Patent application;
- 2. [] within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International application; and/or
- 3. [X] before the mailing date of the first Office Action on the merits in the above-identified application.

No fee or certification is required.

| B. | [] | This Information Disclosure Statement has been filed more than three months | | | | | | |
|----|----|--|---------------------------|---|--|--|--|--|
| | | after the filing date of the present application and after the mailing date of this first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311. (check 1 or 2 below) | | | | | | |
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| | | | | | | | | |
| | 1. | [] | The f | fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed; or | | | | |
| | 2. | [] | Appl | Applicants hereby certify, as specified in 37 C.F.R. §1.97(e), that | | | | |
| | | | (chec | (check a or b below) | | | | |
| | | a. | [] | each item of information contained in this Information Disclosure | | | | |
| | | | | Statement was cited in a communication from a foreign Patent | | | | |
| | | | | Office in a counterpart for this application not more than three | | | | |
| | | | | months prior to the filing of this Statement; or | | | | |
| | | b. | [] | no item of information contained in this Information Disclosure | | | | |
| | | | | Statement was cited in a communication from a foreign Patent | | | | |
| | | | | Office in a counterpart for this application or, to the knowledge of | | | | |
| | | | | the undersigned after making reasonable inquiry, was known to any | | | | |
| | | | | individual designated in 37 C.F.R. §1.56(c) more than three | | | | |
| | | | | months prior to the filing of this Statement. | | | | |
| | | c. | [] | items indicated by an asterisk were identified in a recent review of | | | | |
| | | | | related files. | | | | |
| C. | [] | This Information Disclosure Statement has been filed after the mailing date of | | | | | | |
| | | either a Final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 | | | | | | |
| | | C.F.R. §1.311 and before payment of an Issue Fee. | | | | | | |
| | | (chec | (check 1, 2, and 3 below) | | | | | |
| | 1. | [] | The A | The Applicant hereby certifies, as specified in 37 C.F.R. §1.97(e), that: | | | | |
| | | | (chec | (check a or b below) | | | | |

| | | a. | [] | each item of information contained in this Information Disclosure | | | | | |
|---|-----------|--|----------|--|--|--|--|--|--|
| | | | | Statement was cited in a communication from a foreign Patent | | | | | |
| | | | | Office in a counterpart for this application not more than three | | | | | |
| | | | | months prior to the filing of this Statement. | | | | | |
| | | b. | [] | no item of information contained in this Information Disclosure | | | | | |
| | | | | Statement was cited in a communication from a foreign Patent | | | | | |
| | | | | Office in a counterpart for this application or, to the knowledge of | | | | | |
| | | | | the undersigned after making reasonable inquiry, was known to any | | | | | |
| | | | | individual designated in 37 C.F.R. §1.56(c) more than three | | | | | |
| | | | | months prior to the filing of this Statement. | | | | | |
| | 2. | [] | The Fe | e of \$180 as set forth in 37 C.F.R. §1.17(i)(1) is enclosed. | | | | | |
| | | | | | | | | | |
| <u>PART</u> | II - Info | rmation | 1 Cited | | | | | | |
| A. | [X] | The Applicant hereby makes of record in the above-identified application the | | | | | | | |
| | | reference(s) listed on the attached form PTO-1449 (modified). The order of | | | | | | | |
| presentation of the references should not be construed as an indication | | | | | | | | | |
| | | importa | ance of | the references. | | | | | |
| | | | | | | | | | |
| B. | [] | The Applicant hereby makes the following additional information of record in the | | | | | | | |
| | | above-identified application: | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| <u>PART</u> | III: Ex | <u>planatio</u> | n of No | on-English Language References and Remarks Concerning Other | | | | | |
| Inform | ation C | <u>ited</u> | | | | | | | |
| A. | [] | The following is a concise explanation of the relevance of each non-English | | | | | | | |
| | | languag | ge refer | ence listed on the attached form PTO-1449 (modified): | | | | | |
| | | | | | | | | | |
| B. | [] | The fol | llowing | are remarks concerning the other information cited: | | | | | |
| | | | | | | | | | |

PART IV: Remarks

- A. [X] Copies of references (check 1 or 2 below)
 - 1. [X] A copy of each of the references cited on the attached form PTO-1449 (modified) is enclosed;
 - 2. [] Copies of certain of the references cited on the attached form PTO-1449 (modified) are not enclosed because each of these references (indicated by asterisk) was previously cited by or submitted to the Office in a prior application, , which prior application is relied upon for an earlier filing date under 35 U.S.C. § 120.
- B. [X] It is respectfully requested that: (check 1, 2, and 3 below)
 - 1. [X] The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
 - 2. [X] The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application;
 - 3. [X] The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.
- C. [X] By submitting this Information Disclosure Statement, Applicants make no representation that a search has been performed, of the extent of any search performed, or that more material information may not exist.

- D. [X] By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).
- E. [X] By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.
- F. [X] Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

An early and favorable action is hereby requested.

Please charge any additional fees or credit any overpayments to our Deposit Account No. 03-1721.

Respectfully submitted,

Date: November 17, 2003

Elijah Cocks

Registration Number 47,499

CHOATE, HALL & STEWART Exchange Place 53 State Street Boston, Massachusetts 02109 (617) 248-5000

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| Form PTO- (REV. 8-83) | | | PARTMENT OF COMMERCE AND TRADEMARK OFFICE | | Атту. Dоскет: 2005137-0001 | APPLICATION No.: To be assigned | |
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| INFO | ORMATIO | N DISCLOSU | JRE STATEMENT | | APPLICANT: BROME | BERG, et a | <u>l.</u> |
| | (Use se | everal sheets if | | FILING DATE: November 17, 2003 | EXAMINER: GROUP: | | |
| U. S. PATE | NT DOCU | MENTS | | | | | |
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| Examiner | DOCUME | NT NUMBER | | | ATE | Translation | |
| Initials | | | | | | YES | No |
| | WO 99/4 | 2718 | PCT | A | ugust 26, 1999 | English | |
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